



THE UNIVERSITY *of* EDINBURGH

## Edinburgh Research Explorer

# Different cardiorespiratory effects of indoor air pollution intervention with ionization air purifier: Findings from a randomized, double-blind crossover study among school children in Beijing

### Citation for published version:

Dong, W, Liu, S, Chu, M, Zhao, B, Yang, D, Chen, C, Miller, MR, Loh, M, Xu, J, Chi, R, Yang, X, Guo, X & Deng, F 2019, 'Different cardiorespiratory effects of indoor air pollution intervention with ionization air purifier: Findings from a randomized, double-blind crossover study among school children in Beijing', *Environmental Pollution*, vol. 254, pp. 113054. <https://doi.org/10.1016/j.envpol.2019.113054>

### Digital Object Identifier (DOI):

[10.1016/j.envpol.2019.113054](https://doi.org/10.1016/j.envpol.2019.113054)

### Link:

[Link to publication record in Edinburgh Research Explorer](#)

### Document Version:

Peer reviewed version

### Published In:

Environmental Pollution

### General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

### Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact [openaccess@ed.ac.uk](mailto:openaccess@ed.ac.uk) providing details, and we will remove access to the work immediately and investigate your claim.



1 **Different cardiorespiratory effects of indoor air pollution intervention with**  
2 **ionization air purifier: findings from a randomized, double-blind crossover**  
3 **study among school children in Beijing**

4

5 Wei Dong<sup>1△</sup>, Shan Liu<sup>1△</sup>, Mengtian Chu<sup>1</sup>, Bin Zhao<sup>2</sup>, Di Yang<sup>1</sup>, Chen Chen<sup>2</sup>, Mark R.  
6 Miller<sup>3</sup>, Miranda Loh<sup>4</sup>, Junhui Xu<sup>1</sup>, Rui Chi<sup>1</sup>, Xuan Yang<sup>1</sup>, Xinbiao Guo<sup>1</sup>, Furong  
7 Deng\*<sup>1</sup>

8 <sup>1</sup> Department of Occupational and Environmental Health Sciences, School of Public  
9 Health, Peking University, Beijing 100191, China

10 <sup>2</sup> Department of Building Science, School of Architecture, Tsinghua University,  
11 Beijing 100084, China

12 <sup>3</sup> University/BHF Centre for Cardiovascular Science, Queens Medical Research  
13 Institute, The University of Edinburgh, 47 Little France Crescent Edinburgh, EH16  
14 4TJ, UK

15 <sup>4</sup> Institute of Occupational Medicine, Research Avenue North Riccarton, Edinburgh,  
16 EH14 4AP, UK

17

18 <sup>△</sup>These authors contributed equally to this work.

19 \*Corresponding Author:

20 **Furong Deng, MD, PhD**

21 Department of Occupational and Environmental Health Sciences, Peking University  
22 School of Public Health, No. 38 Xueyuan Road, Beijing 100191, China, E-mail:  
23 lotus321321@126.com, Tel: 86-10-82805779, Fax: 86-10-82805779.

24

25

26

27

28

29

30 **Declarations of interest**

31 None.

32

33 **Abstract**

34 Indoor air pollution is associated with numerous adverse health outcomes. Air  
35 purifiers are widely used to reduce indoor air pollutants. Ionization air purifiers are  
36 becoming increasingly popular for their low power consumption and noise, yet its  
37 health effects remain unclear. This randomized, double-blind crossover study is  
38 conducted to explore the cardiorespiratory effects of ionization air purification among  
39 44 children in Beijing. Real or sham purification was performed in classrooms for 5  
40 weekdays. Size-fractionated particulate matter (PM), black carbon (BC), ozone (O<sub>3</sub>),  
41 and negative air ions (NAI) were monitored, and cardiorespiratory functions were  
42 measured. Mixed-effect models were used to establish associations between  
43 exposures and health parameters. Real purification significantly decreased PM and  
44 BC, e.g. PM<sub>0.5</sub>, PM<sub>2.5</sub>, PM<sub>10</sub> and BC were decreased by 48%, 44%, 34% and 50%  
45 respectively. O<sub>3</sub> levels were unchanged, while NAI was increased from 12 to 12,997  
46 cm<sup>-3</sup>. Real purification was associated with a 4.4% increase in forced exhaled volume  
47 in 1 second (FEV<sub>1</sub>) and a 14.7% decrease in exhaled nitrogen oxide (FeNO).  
48 However, heart rate variability (HRV) was altered negatively. Interaction effects of  
49 NAI and PM were observed only on HRV, and alterations in HRV were greater with  
50 high NAI. Ionization air purifier could bring substantial respiratory benefits, however,  
51 the potential negative effects on HRV need further investigation.

52 **Keywords:** ionization air purifier; size-fractionated PM; children; lung function;  
53 cardiac autonomic function.

54 **Capsule:** This study suggested that ionization air purification could bring substantial  
55 respiratory benefits while potential negative effects on cardiac autonomic function.

## 56 **1.Introduction**

57 Numerous studies have reported associations between air pollution and adverse  
58 health outcomes among different populations. On average, people spend >80% of  
59 their time within indoor environments(Almeida-Silva et al., 2014; Klepeis et al.,  
60 2001; Zhao et al., 2018), and it has been indicated that indoor air pollution could pose  
61 an equal, or even higher, risk to morbidity and mortality compared to ambient air  
62 pollution(Karottki et al., 2014; Karottki et al., 2015). Indeed, World Health  
63 Organization (WHO) reported that 4.2 million and 3 million premature deaths were  
64 attributable to household and ambient air pollution, respectively, in 2012(WHO,  
65 2014, 2016). At present, indoor PM is still a severe environmental problem in both  
66 developed and developing countries. For instance, in China, some researchers  
67 reported that the average fine particulate matter (PM<sub>2.5</sub>) concentration reached about  
68 60 µg/m<sup>3</sup> within residences in urban Beijing(Pan et al., 2018), largely higher than the  
69 WHO Interim Target 1 (35 µg/m<sup>3</sup>) for outdoor pollution. Furthermore, it was  
70 observed that adverse health effects are associated with indoor PM exposure in  
71 countries with relatively low pollution levels (<20 µg/m<sup>3</sup>)(Allen et al., 2011; Karottki  
72 et al., 2013).

73 Air purifiers have been widely used as an effective measure to reduce indoor  
74 particulate matter (PM) pollution. Previous studies have investigated different kinds  
75 of air purifiers and their health effects. The mechanic filters, such as high-efficiency  
76 air particulate (HEPA) filtration purifiers, could lower indoor pollution and have

77 cardiorespiratory benefits in human subjects(Huichu Li et al., 2017; Luo et al., 2018;  
78 Liu et al., 2018; Butz et al., 2011; Kajbafzadeh et al., 2015) while other studies  
79 demonstrated that HEPA air purifiers could not significantly improve  
80 cardiorespiratory function in adults(Cui et al., 2018; Day et al., 2017a). Also, some  
81 researchers paid attention to other types of purifiers, such as electrostatic precipitator  
82 purifiers (ESP)(Day et al., 2017a; Skulberg et al., 2005). Association between the use  
83 of ESP and improved lung function was found among office workers(Skulberg et al.,  
84 2005). However, another study showed that the operation of ESP could generate  
85 incidental ozone (O<sub>3</sub>)(Day et al., 2017b), which is recognized as a potential health  
86 hazard to people(Day et al., 2017b; Hongyu Li et al., 2017). It is reported that ESP  
87 could even increase some cardiovascular risks (Day et al., 2017a). Besides,  
88 associations between the use of electret air filters and improved cardiorespiratory  
89 function were found among adults (Chen R et al., 2015; Chuang et al., 2017). While  
90 to the best of our knowledge, the ionization air purifier and its health effects have not  
91 been widely explored.

92       Currently, due to the low power consumption and noise, ionization air purifiers  
93 are manufactured for use in buildings such as homes and industrial environments in  
94 different countries(Berry et al., 2007; Grinshpun et al., 2005; Shiue and Hu, 2011).  
95 Nowadays more and more primary and middle schools have installed ionization air  
96 purifier for indoor intervention in Beijing, China. Although given evidences have  
97 shown high purification efficiencies of ionization air purifiers on air pollutants

98 (Grabarczyk, 2001; Krueger and Reed, 1976), it remains unknown related to its  
99 cardiorespiratory effects. Moreover, some studies showed that some ionization air  
100 purifiers could generate O<sub>3</sub> in a similar manner to ESP(Niu et al., 2001). This also  
101 presents an initial route of concern that ionization air purifiers may have unforeseen  
102 effects on health.

103 Children are considered as a potentially susceptible population to air pollution  
104 since their organ systems are developing rapidly(Dietert et al., 2000; Hoek et al.,  
105 2012; Morgenstern et al., 2008; Weinmayr et al., 2010). Previous evidences have  
106 showed that exposure to PM was associated with adverse cardiorespiratory effects  
107 among children(Hoek et al., 2012; Calderón-Garcidueñas et al., 2007). School  
108 children spend most of their daytime in classrooms, where indoor PM could be an  
109 underlying health risk factor. Air purifiers have been installed in schools to protect  
110 children from air pollution in cities such as Beijing(Mo, 2017), thus it is necessary to  
111 explore the potential effects of purifiers that have been put into use. Therefore, we  
112 conducted a randomized, double-blind crossover study using a commercially  
113 available ionization air purifier among a group of school children to: 1) examine the  
114 purification efficiency of the purifier in reducing size-fractionated PM and black  
115 carbon (BC); 2) evaluate O<sub>3</sub> and negative air ions (NAI) emissions from purifiers; 3)  
116 explore the cardiorespiratory effects of ionization air purification; 4) establish  
117 associations between size-fractionated PM, BC, NAI and health parameters. The  
118 findings will provide evidence-based guidance on the application of ionization air

119 purifiers and could bring new insight in protecting children health from indoor air  
120 pollution.

## 121 **2.Methods and Materials**

### 122 **2.1.Study design and participants**

123 A randomized, double-blind crossover study was conducted from December,  
124 2017 to March 2018 in a middle school in Daxing District, a suburban area with  
125 relatively high air pollution, in the south of Beijing, China. The school was basically  
126 constructed in cement structure. The surfaces of walls and floors had been slightly  
127 damaged, which could generate cement dust, one of the important sources for  
128 PM(Tian et al., 2015). We calculated the sample size based on the formula  $N =$   
129  $\frac{(z_{\alpha}+z_{\beta})^2 \sigma^2}{d^2}$ . Specifically,  $\alpha = 0.05$ ,  $\beta = 0.10$ ,  $d = 0.037$  L and  $\sigma = 0.083$  L, the latter  
130 two parameters were based on the lung function parameter, forced exhaled volume in  
131 1 second (FEV<sub>1</sub>) from a previous study (Gao et al., 2013). The sample size we  
132 calculated was 40. Taking into account the 20% rate of loss to follow-up, the final  
133 sample size was determined to be 48. As there are only 6 classes of grade one in this  
134 junior high school, we randomly recruited 8 children per classroom for a total of 48  
135 participants with the following certain criteria: 1) aging from 11 to 14; 2) living in  
136 Beijing for more than two consecutive years; 3) not suffering any health conditions;  
137 4) having no asthma and thoracic surgery history; 5) living in school dormitories from  
138 Monday to Friday.

139 Before the study, six ionization air purifiers were installed about 1.2 meters  
140 below the ceilings, in an identical position in each classroom. As the ceilings were 4.5  
141 meters high in every classroom, the purifiers were 3.3 meters from the floor  
142 vertically. Two different treatments were employed, “real” (machine turned on) and  
143 “sham” (machine turned off) purification, in a random order with a 2-month washout  
144 period. We considered that exams and other school events might influence the health  
145 outcomes of the participants (e.g. heart rate), so those time periods were avoided.  
146 Besides, after winter holiday, it was after two weeks that we began the second period  
147 of the study in order that the participants got used to the school environment. The  
148 treatments were randomized by classrooms as is shown in the flow chart (**Figure 1**),  
149 and **Table S1** in supplemental material presents the details for each classroom  
150 including the date of treatment and the number of participants. Since the operation of  
151 the purifiers was silent and the indication lights were removed, both the participants  
152 and field investigators could not distinguish the operation statuses. Each treatment  
153 lasted five weekdays (Monday to Friday) starting at 7:00 and ending at 17:00  
154 according to the school schedule. The study was conducted in the winter heating  
155 season in Beijing, thus all windows and doors were kept closed except two small  
156 ventilation openings with an area of 0.09 m<sup>2</sup>. The participants were instructed to stay  
157 within the classrooms as much as possible. A self-administered activity questionnaire  
158 was given to each participant during the treatments. They were told to record the time  
159 and place when they went outside, such as lunch break and toilet visit.

160 Before the study began, the study protocol was approved by the Review Board of  
161 Peking University Health Science Center, which conforms to Declaration of Helsinki.  
162 Before inclusion, written informed consents were provided by all participants and  
163 their guardians, who could withdraw from the study at any time.

## 164 **2.2.Exposure Measurements**

165 All exposure measurement devices were installed at the height of breathing zone  
166 (about 1.2 m high from the floor) at the same position of each classroom.

167 Measurements started at 7:00 am and ended at 17:00 pm from Monday to Friday.

168 Exposure measurements included size-fractionated PM, BC, O<sub>3</sub>, NAI, carbon dioxide  
169 (CO<sub>2</sub>), noise, temperature and relative humidity (RH). Machines used for

170 measurements were as follows: size-fractionated PM (Model Handheld PC3016;

171 GrayWolf Inc., USA), BC (microAeth Model AE51; Magee Scientific, Berkeley, CA,

172 USA), O<sub>3</sub> (Aeroqual Series 500; Aeroqual, New Zealand), CO<sub>2</sub> (Model HCZY-1;

173 Tianjianhuayi Inc., Beijing, CHINA), noise (Model ASV5910; Hangzhouaihua Inc.,

174 Hangzhou, CHINA), NAI (COM-3200 Pro II; Com System.Inc, Japan), real-time

175 temperature and RH (Model WSZY-1B; Tianjianhuayi Inc., Beijing, CHINA). All

176 exposure measurements were recorded as 5-min segments in line with heart rate

177 variability (HRV) indices, and calculated as 1-h averages for ST-segment elevation

178 and 8-h (08:00-16:00) averages for the other health measurements.

## 179 **2.3.Health measurements**

180 Health parameters were measured by trained investigators on Monday,  
181 Wednesday and Friday of each treatment period. Pulmonary tests, blood pressure  
182 (BP) tests and exhaled breath condensate (EBC) collections were conducted at 7:00-  
183 9:00 am and 15:00-17:00 pm. Ambulatory electrocardiogram (ECG) monitoring,  
184 including HRV, heart rate (HR) and ST-segment elevation, began at 8:00 am, and  
185 ended at 15:00-16:00 pm. To avoid possible variation arising between different  
186 investigators, the same investigator ran the same tests throughout the study wherever  
187 possible.

### 188 **2.3.1.Pulmonary tests**

189 FeNO was measured by the NIOX VERO® machine (Aerocrine AB, Solna,  
190 Sweden) following standardized procedures(Peltier, 2005). Participants were asked to  
191 refrain from exercise, food and beverage 1 hour before. After the FeNO tests, a  
192 portable PEF meter (Model 2110; Vitalograph Ltd., UK) was used to measured FEV<sub>1</sub>  
193 and peak expiratory flow (PEF) simultaneously following American Thoracic  
194 Society/European Respiratory Society (ATS/ERS) recommendations(Miller et al.,  
195 2005). For FEV<sub>1</sub> and PEF, each measurement included two blows, and two to five  
196 measurements were conducted in each participant for each time. Once relative  
197 difference of two measurements was less than 10%, the better result of two blows was  
198 recorded for final analysis.

### 199 **2.3.2.Blood pressure tests**

200 Following at least 10 minutes of rest, upper arm blood pressure was measured  
201 using an automated oscillometric monitor (HEM-7052; Omron Healthcare Co. Ltd.,  
202 Japan) at three times with a minimum 3-minute interval. We calculated the averages  
203 of the blood pressure values (from the second to the last measurement) within a 5-  
204 mmHg range of difference and recorded them as the final outcomes.

### 205 **2.3.3. Ambulatory electrocardiogram (ECG) monitoring**

206 ECG monitoring were conducted using a 12-channel Holter monitor (model  
207 MGY-H12; DM Software Inc., USA), which was positioned on the participants using  
208 a standard protocol. The participants were instructed not to take any designated food  
209 or drink (e.g. coffee, wine, tea) that may affect HRV and avoid high intensity exercise  
210 on the day of, and the day before, the health measurements. Participants were  
211 instructed to wear the Holter monitors for 7-8 hours, during which they were told to  
212 stay indoor as much as possible and record their activities in the formatted diaries.  
213 Further details and data processing procedure have been documented in our previous  
214 work(Pan et al., 2018).

### 215 **2.3.4. Sample collection and biomarker assay**

216 EBC was collected using a designated device (Dingblue Tech., Ltd, China) that  
217 have been used in a previous study(Zheng et al., 2017), and according to ATS/ERS  
218 recommendation(Horváth et al., 2005). All samples were immediately stored at -80°C.  
219 Malondialdehyde (MDA) were measured as an indicator of oxidative stress in EBC.

220 The method of high-performance liquid chromatography (HPLC) with fluorescence  
221 detection was used according to previous study(L ärstad et al., 2002).

#### 222 **2.4. Statistics Analysis**

223 We used paired t-tests to compare exposure levels (8-h averages) and health  
224 measurements between two periods. Mixed-effect models were conducted to examine  
225 the effects of real purification and different exposures on the health parameters, and  
226 explore the possible interaction effects between different exposures and between  
227 gender and indoor air pollutants. Health measurements were log<sub>10</sub>-transformed to  
228 improve the normality and stabilize the variance due to skewed distribution, except  
229 ST-segments elevation, among which there were zero values. We controlled for  
230 personal characteristics, including age, gender and BMI, classroom and long-term  
231 time trend, including day-of-measurement and squared day-of-measurement, as fixed-  
232 effect terms. Day-of-measurement means the count of the day that the measurement  
233 was conducted over the whole study course. In addition, other potential confounders  
234 were included as fixed-effect terms such as hour of day, day of week, noise,  
235 temperature, RH and CO<sub>2</sub>.<sup>9</sup>

236 To investigate the effect of purification and exposures, mixed-effect models were  
237 fit, in which real purification was coded as “1” and sham purification as “0”:

$$238 \quad Y_{it}=b_0+u_i+b_1x_1+\dots+b_px_p+\beta (\text{treatment or exposure}) +\varepsilon_{it}$$

239 where  $Y_{it}$  is the logarithm of health measurement in subject  $i$  at time  $t$ ,  $b_0$  is the overall  
240 intercept,  $u_i$  is the specific random intercept for the subject  $i$ ,  $x_1-x_p$  are covariates,  $b_1-$

241  $b_p$  are regression coefficients for  $x_1-x_p$ ,  $\beta$  is the regression coefficient for treatment or  
242 exposure, and  $\varepsilon_{it}$  is the error for subject  $i$  at time  $t$ .

243 We estimated percent change with 95% confidence intervals (CI) in  $\log_{10}$ -  
244 transformed health measurements, and value changes of ST-segment elevation per  
245 interquartile range (IQR) increase in moving average of each exposure measurements  
246 with 95% confidence intervals. Percent changes were calculated as  $[10^{(\beta \times \text{IQR})} - 1] \times 100\%$ ,  
247 with 95% CI  $\{10^{[\text{IQR} \times (\beta \pm 1.96 \times \text{SE})]} - 1\} \times 100\%$ , where  $\beta$  and SE were the estimated  
248 regression coefficients and its standard error, respectively (Wu et al., 2010). All data  
249 were analyzed using the “nlme (version 3.1-128)” package for R software (version 3.3.2;  
250 R project for Statistical Computing).

## 251 **3. Results**

### 252 **3.1. Participants characteristics**

253 Forty-four participants completed the whole study (see **Table 1**). There were 24  
254 (55%) boys and 20 (45%) girls, and the ages ranged from 11 to 14 years old, with an  
255 average of 12.4 ( $\pm 0.8$ ). The average of body mass index (BMI) was  $18.7 \pm 3.3$  among  
256 the participants. The variance homogeneity test showed that there was no significant  
257 difference among the participant groups from different classes. According to the self-  
258 reported activity diaries, all participants spent more than 80% of their time in  
259 classroom during the exposure monitoring period (data not shown).

### 260 **3.2. Exposure measurements statistics**

261 **Table 2** presents the comparisons of indoor exposure measurements. Size-  
262 fractionated PM and BC were significantly lower during real purification ( $P<0.05$ ).  
263 The purification efficiency for BC was the highest with a reduction rate of 50%. For  
264 size-fractionated PM, higher purification efficiency was shown in smaller PM (PM<sub>0.5</sub>  
265 VS PM<sub>2.5</sub> VS PM<sub>10</sub>: 48% VS 44% VS 34%). NAI was markedly higher during real  
266 purification ( $12997\text{ cm}^{-3}$  VS  $12\text{ cm}^{-3}$ ,  $P<0.001$ ). No significant difference was  
267 observed in O<sub>3</sub>, RH, temperature and noise between two scenarios.

### 268 **3.3. Health measurements statistics**

269 **Table 2** also gives the comparison for health measurements among the  
270 participants between two periods. FEV<sub>1</sub> and PEF were higher during real purification,  
271 however, only the difference in FEV<sub>1</sub> was statistically significant (2.34 L VS 2.19 L,  
272  $P<0.01$ ). FeNO was found significantly lower during real purification (15 ppb VS 17  
273 ppb,  $P<0.01$ ). MDA in EBC tended to be lower after real purification compared to  
274 sham purification ( $0.20\text{ }\mu\text{mol/L}$  VS  $0.24\text{ }\mu\text{mol/L}$ ,  $P=0.06$ ). Blood pressure indices  
275 showed no significant differences between the two periods. Interestingly, we observed  
276 marked significant differences in HRV indices. Power in high frequency (HF), power  
277 in low frequency (LF), and standard deviation of all NN intervals (SDNN) were  
278 significantly lower during real purification, while heart rate (HR) and LF to HF ratio  
279 (LF/HF) were significantly higher. II\_ST, V2\_ST and V5\_ST are three representative  
280 leads in ST-segment analysis as an indicator for ischemic burden(Langrish et al.,  
281 2012). Slight decreases in ST-segment elevation were observed in the three leads,

282 among which that in V5\_ST showed statistical significance ( $P < 0.01$ ). Additional  
283 testing confirmed that the Holter monitors were not directly disturbed by the  
284 operation of ionization air purifiers (see Supplementary Material, Supplemental Test  
285 and **Table S2**).

286 In addition, we compared the health measurement of Monday morning (before  
287 treatment) and Friday afternoon (after treatment) between real and sham purification  
288 periods (**Table 3**). The results are in lines with the averages of three days shown in  
289 **Table 2**, which supports that the health changes were attributed to the indoor air  
290 purification rather than the different time periods.

### 291 **3.4. Estimated effect of air purification**

292 To explore estimate effects of purification on the health measurements, we  
293 conducted mixed-effect models after adjusting potential confounders (see **Figure 2**).  
294 As **Figure 2** shows real purification was associated with 4.4% increase in FEV<sub>1</sub> and  
295 14.7% decrease in FeNO compared to sham purification among all the participants.  
296 Blood pressure results did not show significant differences. Significant alterations  
297 were observed among all HRV indices. HF, LF and SDNN were decreased by 18.8%,  
298 13.4% and 5.4%, respectively, and HR and LF/HF was increased by 3.1% and 14.2%,  
299 respectively. Elevations in II\_ST and V5\_ST were decreased by 0.008mV and  
300 0.019mV, respectively.

### 301 **3.5. Estimated effect of PM and BC**

302 As the ionization air purification could significantly reduce the indoor levels of  
303 PM and BC, we analyzed the estimated effects of those pollutants on health  
304 parameters using mixed-effect models.

305 **Figure 3A** shows the estimated percent changes in respiratory measurements per  
306 IQR increases in size-fractionated PM and BC. The greatest decrease of FEV<sub>1</sub> was  
307 6.5% per IQR increase in PM<sub>0.5</sub> (17.9 µg/m<sup>3</sup>), and the greatest increase of FeNO was  
308 23.5% per IQR increase in PM<sub>1.0</sub> (22.2 µg/m<sup>3</sup>). BC was associated with 7.0% decrease  
309 in FEV<sub>1</sub> and 22.1% increase in FeNO per IQR increase in BC (3.6 µg/m<sup>3</sup>). Increases  
310 in MDA in EBC were associated with levels of PM and BC, but these effects were not  
311 statistically significant.

312 **Figure 3B** shows percent changes in HRV indices per IQR increases in size-  
313 fractionated PM and BC over different moving averages. The greatest decrease in HF  
314 was 16.1% per IQR increase in PM<sub>0.5</sub> (17.9 µg/m<sup>3</sup>) at 5-min moving average. The  
315 smaller PM was, the stronger the effect observed. The greatest decreases were  
316 observed at 5-min moving averages for PM<sub>0.5</sub> and PM<sub>1.0</sub>, but 2-h moving averages for  
317 PM<sub>2.5</sub>, PM<sub>5</sub> and PM<sub>10</sub>. For BC, greatest decrease in HF was 18.8% per IQR increase  
318 (3.6 µg/m<sup>3</sup>) at 3-h moving average. The association patterns of other indices were  
319 similar to HF (see **Figure 3B** and Supplementary Material, **Figure S1**). **Figure 3C**  
320 shows estimated changes in ST-segment elevation per IQR increase in size-  
321 fractionated PM and BC. We observed significant increases in V5\_ST elevation

322 associated with PM<sub>0.5</sub> and PM<sub>1.0</sub>. The greatest increase in V5\_ST elevation was 0.022  
323 mV per IQR increase in PM<sub>1.0</sub> (22.2 µg/m<sup>3</sup>).

### 324 **3.6. The interaction of NAI with PM and BC**

325 Significance was observed in the interaction effects of NAI with PM and BC on  
326 HRV but not on pulmonary function. Therefore, we analyzed the effect on HRV in  
327 real and sham purification separately (see **Figure 4**). In general, the effects of PM<sub>2.5</sub>,  
328 PM<sub>5.0</sub> and PM<sub>10</sub> were close at different moving averages between two periods.  
329 However, the effects of PM<sub>0.5</sub>, PM<sub>1.0</sub> and BC appeared greater during real  
330 purification. A reduction of 35.1% in HF was observed per IQR increase in PM<sub>1.0</sub>  
331 (22.2 µg/m<sup>3</sup>) at 5-min moving average during real purification, but only 25.2% during  
332 sham purification. The results were similar for LF and SDNN during the two periods  
333 (see Supplementary Material **Figure S3**). Besides, no significant interaction effects of  
334 gender and indoor air pollutants was found on cardiorespiratory function (**Table S3**).

## 335 **4. Discussion**

336 To date, this is the first study to investigate the health effects of ionization air  
337 purification on cardiorespiratory parameters among children. The purifier used in this  
338 study had a high efficiency for reducing size-fractionated PM and BC. Consequently,  
339 we found improved lung function, reduced airway inflammation, less oxidative stress  
340 and a lowered potential myocardial ischemia risk after purification. However,  
341 potentially negative changes were observed in HRV indices. Further analysis showed  
342 that increases in PM and BC were associated with decrements in all health

343 parameters, indicating that reduction of the pollution might bring improvements in all  
344 measured cardiorespiratory parameters. However, heterogeneity was observed related  
345 to the effect of NAI. Our findings suggested exposure to high NAI might have  
346 adverse effect on cardiac autonomic function while other parameters were positively  
347 affected. To conclude, adverse respiratory effects of PM and BC were substantially  
348 ameliorated by using ionization air purification, however, the benefits in cardiac  
349 autonomic function of the reduction in particulate pollution appeared to be lost due to  
350 the high levels of NAI emitted by air purifiers.

351 Previous studies have examined the efficiencies of ionization purifiers, but not to  
352 the depth of the current study that examined reduction efficiency on size-fractionated  
353 PM and BC. Higher purification efficiencies were found for BC and smaller PM (i.e.  
354  $PM_{0.5}$ ,  $PM_{1.0}$  and  $PM_{2.5}$ ) compared to  $PM_{2.5-10}$ . The reduction rate for BC and  $PM_{0.5}$   
355 were about 50% while that was about 30% for  $PM_{10}$ . Previous studies have  
356 demonstrated health benefits from lowering indoor pollution with filtration air  
357 purifiers among different populations (Brown et al., 2014; Huichu Li et al., 2017). In  
358 our study, different cardiorespiratory effects were found among the children after  
359 ionization air purification. Compared to filtration air purifiers, the essential feature of  
360 ionization air purifier is to emit NAI, which could enhance the gravitational  
361 settlement of airborne particles (Grinshpun et al., 2005). Therefore, we conducted  
362 further analysis to explore the associations between PM, BC and NAI with different  
363 health parameters.

364 As is implied in **Figure 3**, decreases in size-fractionated PM and BC were  
365 associated with improvements of those health outcomes. Several previous studies  
366 investigating the potential respiratory improvements brought by indoor air  
367 purification found similar results with our present study (Skulberg et al., 2005;  
368 Weichenthal et al., 2013), whereas others did not. It is reported that no significant  
369 changes of lung function were found with 50% purification efficiency of PM<sub>2.5</sub> from  
370 8µg/m<sup>3</sup> to 4µg/m<sup>3</sup> among the elderly (Karottki et al., 2013). Another study conducted  
371 among young, healthy adults demonstrated that the beneficial impacts on lung  
372 function were not statistically significant with 57% reduction in PM<sub>2.5</sub> concentration  
373 from 96.2 to 41.3 µg/m<sup>3</sup> (Chen R et al., 2015). Compared with adults, children are  
374 believed to be especially susceptible to the adverse effects of air pollution (Dietert et  
375 al., 2000; Hoek et al., 2012; Morgenstern et al., 2008; Weinmayr et al., 2010), thus  
376 our study may find some potential respiratory benefits in such vulnerable population.  
377 Furthermore, our present study explored the improvements of lung function with  
378 decreases in size-fractionated PM, not just PM<sub>2.5</sub> and found higher purification  
379 efficiencies for smaller PM compared to PM<sub>2.5</sub>. Some studies indicated that smaller  
380 particles have larger surface areas for a given mass, might contain more toxic  
381 substances and elicit greater health effects on people (Chen W et al., 2015; Lin et al.,  
382 2016), which suggested the decreases in smaller PM may have greater improvements  
383 of lung function. Although the purification efficiency of PM<sub>2.5</sub> in this study was less  
384 than those mentioned above (Karottki et al., 2013; Chen R et al., 2015), we found

385 greater purification efficiencies of smaller PM than PM<sub>2.5</sub> while those studies did not  
386 explore other sizes of PM other than PM<sub>2.5</sub>. In previous studies, inflammation and  
387 oxidative stress have been considered plausibly as the main mechanism through  
388 which air pollution affects human health(Gehring et al., 2013). Besides potential  
389 benefits of reduced PM, NAI might also contribute to the decreases in airway  
390 inflammation and oxidative stress, which might be due to the ability of NAI in  
391 inhibiting growth of airborne microorganism(Krueger and Reed, 1976). Nevertheless,  
392 the underlying mechanism still remains unidentified. Therefore, it should be further  
393 explored considering the respiratory health effect of short-term air purification,  
394 whether ionization purifier or other types, especially for children, a susceptible  
395 population to particulate air pollution.

396 In addition, we observed higher ST-segment elevation associated with increases  
397 in PM, which is similar to previous findings(Hanna and Glancy, 2015). However, the  
398 association between ST-segment elevation and NAI was not found. Our results could  
399 be an indication that reduction in PM pollution through air purification might lead to  
400 lower ischemic risks among children. However, the results were different for cardiac  
401 autonomic function. It was observed that increases in PM and BC were associated  
402 with decreases in HF, LF and SDNN, similar to previous findings among young  
403 adults and the elderly(Chen et al., 2007; Dong et al., 2018; Pan et al., 2018). Yet the  
404 potential benefits from reduced particulate pollution might be overcast by increased  
405 NAI. The possible biological and psychological effects of NAI have been previously

406 discussed(Iwama, 2004; Nakane et al., 2002; Nimmerichter et al., 2014; Ryushi et al.,  
407 1998; Sirota et al., 2008). For instance, exposure to NAI might improve erythrocyte  
408 deformability and aerobic metabolism(Iwama, 2004). However, the potential impact  
409 of NAI on cardiac autonomic function has not been investigated among humans. As  
410 our experimental test excluded the possibility that Holter monitoring was disturbed by  
411 NAI, the results could indicate that NAI might exert negative impact on cardiac  
412 autonomic function, which could result from unknown charge-related response  
413 occurred in human body(Krueger and Reed, 1976).

414 Attention has been paid to the interaction effects of PM and other environmental  
415 factors, such as temperature and noise(Huang et al., 2013; S. Wu et al., 2015).  
416 Therefore, we hypothesized that NAI could interact with PM and BC and  
417 subsequently pose health impacts on people. The results exhibited significant  
418 interaction effects of NAI with PM and BC on HRV but not on pulmonary functions,  
419 no significant interaction effects of gender and indoor air pollutants on  
420 cardiorespiratory function were observed. Then we analyzed the alterations of HRV  
421 associated with PM and BC in sham and real purification, respectively. Greater  
422 changes were found in HF, LF and SDNN with IQR increase in PM and BC during  
423 real purification period with high NAI. Forest environment was considered high in  
424 NAI(Ling et al., 2010; Tammet et al., 2006). A field experiment claimed increased  
425 HF and SDNN among women after exposure to forest environment(Lanki et al.,  
426 2017). However, our findings implied potential negative effect of NAI on cardiac

427 autonomic function. The difference might be because that the forest environment was  
428 more natural and complicated, thus the health benefits were resulted from multiple  
429 factors. In addition, the concentration of NAI was much higher than that in forest  
430 environment in this study. Therefore, it could provide implications for future  
431 development of ionization air purifiers. On the one hand, ionization air purifiers might  
432 not be used in high PM indoor environment like the classrooms in this study. On the  
433 other hand, the emission of NAI should be controlled not only for purification  
434 efficiency but also for avoiding potential negative health effect.

435 We note three main strengths in this study. Firstly, it is the first study to  
436 investigate the health effects of using ionization air purifiers. To note, we found  
437 disparate effects between respiratory functions and cardiac autonomic function, which  
438 could be an important indication for the application of those purifiers in the future.  
439 Secondly, we chose children, one of the most susceptible population to air pollution,  
440 as participants to explore the health effects of ionization purification. Thirdly, this  
441 study compared the purification efficiencies on indoor PM of different sizes and BC  
442 for the first time.

443 Nonetheless, this study also has certain limitations listed as follows. Firstly, air  
444 purification and environmental measurement could not be measured during the night  
445 time. However, the primary aim of this study was to explore the short-term effect of  
446 purification, and the repeated measurements could address the potential long-lasting  
447 action of the intervention, albeit in the presence of other periods of pollution

448 exposure. Secondly, we did not measure gaseous pollutants other than ozone.  
449 However, in the inhabited environments such as school, gaseous pollutants are known  
450 to be very low and would not alter the substantial results(Chen et al., 2017). Thirdly,  
451 due to the poor operability of sampling blood from children, we did not collect blood  
452 samples yet other studies did (Huichu Li et al., 2017), so we may not obtain more  
453 biomarkers to some extent.

## 454 **5. Conclusion**

455 This study demonstrates that ionization air purification can reduce indoor PM  
456 with high purification efficiency in school classrooms. To date, our study is firstly to  
457 investigate the health effects of ionization air purification. We observed that  
458 ionization air purification could elicit significant benefits to respiratory system,  
459 however, these benefits were seemingly off-set by apparently negative effects on  
460 cardiac autonomic function. The negative effects on HRV may be attributed to the  
461 very high levels of NAI from these purifiers and further studies are urgently needed to  
462 confirm if NAI is the underlying mechanism, and whether it could also have other  
463 unrecognized effects on the body. These results are important for the use of this type  
464 of air purifier, and due consideration is needed for the balance of potentially  
465 beneficial versus negative effects of this technology, and its future development.

## 466 **Acknowledgments**

467           The authors gratefully thank Dr Shaowei Wu (Department of Occupational and  
468 Environmental Health Sciences, School of Public Health, Peking University) for  
469 comments that improved the manuscript.

#### 470 **Funding**

471           This project was supported by grants of the National Key Research and  
472 Development Program of China (2017YFC0702700, 2016YFC0206506), grants from  
473 the National Natural Science Foundation of China [No. 81571130090, 91543112,  
474 81072267], and the grant from China Medical Board (CMB 15-228). MRM is  
475 supported by a British Heart Foundation Special Project Grant (SP/15/8/31575). ML  
476 is supported by a grant from the UK Natural Environment Research Council  
477 (Reference NE/N007182/1).

478 **References**

- 479 Allen, R. W., Carlsten, C., Karlen, B., Leckie, S., Van, E. S., Vedal, S., Wong, I.,  
480 Brauer, M., 2011. An air filter intervention study of endothelial function among  
481 healthy adults in a woodsmoke-impacted community. *Am. J. Respir. Crit. Care.*  
482 *Med.* 183(9), 1222-1230. <https://doi.org/10.1164/rccm.201010-1572OC>.
- 483 Almeida-Silva, M., Wolterbeek, H.T., Almeida, S.M., 2014. Elderly exposure to  
484 indoor air pollutants. *Atmos. Environ.* 85, 54-63.  
485 <https://doi.org/10.1016/j.atmosenv.2013.11.061>.
- 486 Berry, D., Mainelis, G., Fennell, D., 2007. Effect of an ionic air cleaner on  
487 indoor/outdoor particle ratios in a residential environment. *Aerosol. Sci. Tech.*  
488 41, 315-328. <https://doi.org/10.1080/02786820701199702>.
- 489 Brehler, R., Kütting, B., Biel, K., Luger, T., 2003. Positive effects of a fresh air  
490 filtration system on hay fever symptoms. *Int. Arch. Allergy. Immunol.* 130(1),  
491 60-65. <https://doi.org/10.1159/000068376>.
- 492 Brown, K.W., Minegishi, T., Allen, J.G., McCarthy, J.F., Spengler, J.D., Macintosh,  
493 D.L., 2014. Reducing patients' exposures to asthma and allergy triggers in their  
494 homes: An evaluation of effectiveness of grades of forced air ventilation filters.  
495 *J. Asthma.* 51, 585-594. <https://doi.org/10.3109/02770903.2014.895011>.
- 496 Butz, A., Matsui, E., Breyse, P., CurtinBrosnan, J., Eggleston, P., Diette, G.,  
497 Williams, D., Yuan, J., Bernert, J., Rand, C., 2011. A randomized trial of air  
498 cleaners and a health coach to improve indoor air quality for inner-city children  
499 with asthma and secondhand smoke exposure. *Arch. Pediatr. Adolesc. Med.*  
500 165(8), 741-748. <https://doi.org/10.1001/archpediatrics.2011.111>.
- 501 Calderón-Garcidueñas, L., Vincent, R., Mora-Tiscareño, A., Franco-Lira, M.,  
502 Henríquez-Roldán, C., Barragán-Mejía, G., Garrido-García, L., Camacho-Reyes,  
503 L., Valencia-Salazar, G., Paredes, R., Romero, L., Osnaya, H., Villarreal-  
504 Calderón, R., Torres-Jardón, R., Hazucha, M.J., Reed, W., 2007. Elevated  
505 plasma endothelin-1 and pulmonary arterial pressure in children exposed to air  
506 pollution. *Environ. Health. Perspect.* 115(8),1248-1253.  
507 <https://dx.doi.org/10.1289%2Fehp.9641>.
- 508 Chen, J.C., Cavallari, J.M., Stone, P.H., Christiani, D.C., 2007. Obesity is a modifier  
509 of autonomic cardiac responses to fine metal particulates. *Environ. Health.*  
510 *Perspect.* 115, 1002-1006. <https://doi.org/10.1289/ehp.9609>.
- 511 Chen, R., Zhao, A., Chen, H., Zhao, Z., Cai, J., Wang, C., Yang, C., Li, H., Xu, X.,  
512 Ha, S., Li, T., Kan, H., 2015. Cardiopulmonary benefits of reducing indoor  
513 particles of outdoor origin: a randomized, double-blind crossover trial of air  
514 purifiers. *J Am. Coll. Cardiol.* 65, 2279-2287.  
515 <https://doi.org/10.1016/j.jacc.2015.03.553>.
- 516 Chen, S., Gu, Y., Qiao, L., Wang, C., Song, Y., Bai, C., Sun, Y., Ji, H., Zhou, M.,  
517 Wang, H., Chen, R., Kan, H., 2017. Fine particulate constituents and lung  
518 dysfunction: A time-series panel study. *Environ. Sci. Technol.* 51, 1687-1694.  
519 <https://doi.org/10.1021/acs.est.6b03901>.

520 Chen, W., Thomas, J., Sadatsafavi, M., FitzGerald, J.M., 2015. Risk of cardiovascular  
521 comorbidity in patients with chronic obstructive pulmonary disease: a systematic  
522 review and meta-analysis. *Lancet. Respir. Med.* 3, 631-639.  
523 [https://doi.org/10.1016/S2213-2600\(15\)00241-6](https://doi.org/10.1016/S2213-2600(15)00241-6).

524 Chuang, H.C., Ho, K.F., Lin, L.Y., Chang, T.Y., Hong, G.B, Ma, C.M., Liu, I.J.,  
525 Chuang, K.J., 2017. Long-term indoor air conditioner filtration and  
526 cardiovascular health: A randomized crossover intervention study. *Environ. Int.*  
527 106, 91-96. <https://doi.org/10.1016/j.envint.2017.06.008>.

528 Cui, X., Li, F., Xiang, J., Fang, L., Chung, M.K., Day, D.B., Mo, J., Weschler, C.J.,  
529 Gong, J., He, L., Zhu, D., Lu, C., Han, H., Zhang, Y., Zhang, J.J., 2018.  
530 Cardiopulmonary effects of overnight indoor air filtration in healthy non-  
531 smoking adults: A double-blind randomized crossover study. *Environ. Int.* 114,  
532 27-36. <https://doi.org/10.1016/j.envint.2018.02.010>.

533 Day, D.B., Xiang, J., Mo, J., Clyde, M.A., Weschler, C.J., Li, F., Gong, J., Chung M.,  
534 Zhang, Y., Zhang, J., 2017a. Combined use of an electrostatic precipitator and a  
535 hepa filter in building ventilation systems: Effects on cardiorespiratory health  
536 indicators in healthy adults. *Indoor. Air.* 28,360-372.  
537 <https://doi.org/10.1111/ina.12447>.

538 Day, D.B., Xiang, J., Mo, J., Li, F., Chung, M., Gong, J., Weschler, C.J., Ohman-  
539 Strickland, P.A., Sundell, J., Weng, W., Zhang, Y., Zhang, J.J., 2017b.  
540 Association of ozone exposure with cardiorespiratory pathophysiologic  
541 mechanisms in healthy adults. *JAMA. Intern. Med.* 177, 1344-1353.  
542 <https://doi.org/10.1001/jamainternmed.2017.2842>.

543 Dietert, R. R., Etzel, R. A., Chen, D., Halonen, M., Holladay, S. D., Jarabek, A. M.,  
544 Landreth, K., Peden, D. B., Pinkerton, K., Smialowicz, R. J., Zoetis, T., 2000.  
545 Workshop to identify critical windows of exposure for children's health: immune  
546 and respiratory systems work group summary. *Environ. Health. Perspect.*  
547 108(Suppl 3), 483-490. <https://doi.org/10.1289/ehp.00108s3483>.

548 Dong, W., Pan, L., Li, H., Miller, M.R., Loh, M., Wu, S., , Xu, J., Yang, X., Shan, J.,  
549 Chen, Y., Deng, F., Guo, X., 2018. Association of size-fractionated indoor  
550 particulate matter and black carbon with heart rate variability in healthy elderly  
551 women in beijing. *Indoor. Air.* 28, 373-382. <https://doi.org/10.1111/ina.12449>.

552 Gao, Y., Chan, E.Y., Li, L.P., He, Q.Q., Wong, T.W., 2013. Chronic effects of  
553 ambient air pollution on lung function among Chinese children. *Arch. Dis. Child.*  
554 98, 128-135. <http://dx.doi.org/10.1136/archdischild-2011-301541>.

555 Gehring, U., Gruzieva, O., Agius, R. M., Beelen, R., Custovic, A., Cyrus, J., Eeftens,  
556 M., Flexeder, C., Fuertes, E., Heinrich, J., Hoffmann, B., de Jongste, J. C.,  
557 Kerkhof, M., Klümper, C., Korek, M., Mäler, A., Schultz, E. S., Simpson, A.,  
558 Sugiri, D., Svartengren, M., von Berg, A., Wijga, A. H., Pershagen, G., &  
559 Brunekreef, B., 2013. Air pollution exposure and lung function in children: the  
560 ESCAPE project. *Environ. Health. Perspect.* 121(11-12): 1357-1364.  
561 <https://doi:10.1289/ehp.1306770>.

562 Grabarczyk, Z., 2001. Effectiveness of indoor air cleaning with corona ionizers. *J.*  
563 *Electrostat.* s51–52, 278-283. [https://doi.org/10.1016/S0304-3886\(01\)00058-4](https://doi.org/10.1016/S0304-3886(01)00058-4).

564 Grinshpun, S.A., Mainelis, G., Trunov, M., Adhikari, A., Reponen, T., Willeke, K.,  
565 2005. Evaluation of ionic air purifiers for reducing aerosol exposure in confined  
566 indoor spaces. *Indoor. Air.* 15, 235–245. [https://doi.org/10.1111/j.1600-](https://doi.org/10.1111/j.1600-0668.2005.00364.x)  
567 [0668.2005.00364.x](https://doi.org/10.1111/j.1600-0668.2005.00364.x).

568 Hanna, E. B., Glancy, D. L., 2015. ST-segment elevation: Differential diagnosis,  
569 caveats. *Cleve. Clin. J. Med.* 82(6), 373-384.  
570 <https://doi.org/10.3949/ccjm.82a.14026>.

571 Hoek, G., Pattenden, S., Willers, S., Antova, T., Fabianova, E., Braun-Fahrländer, C.,  
572 Forastiere, F., Gehring, U., Luttmann-Gibson, H., Grize, L., Heinrich, J.,  
573 Houthuijs, D., Janssen, N., Katsnelson, B., Kosheleva, A., Moshhammer, H.,  
574 Neuberger, M., Privalova, L., Rudnai, P., Speizer, F., Slachtova, H., Tomaskova,  
575 H., Zlotkowska, R., Fletcher, T., 2012. PM<sub>10</sub>, and children's respiratory  
576 symptoms and lung function in the paty study. *Euro. Respir. J.* 40(3), 538-547.  
577 <https://doi.org/10.1183/09031936.00002611>.

578 Horváth, I., Hunt, J., Barnes, P.J., 2005. Exhaled breath condensate: methodological  
579 recommendations and unresolved questions. *Euro. Respir. J.* 26(3), 523-548.  
580 <https://doi.org/10.1183/09031936.05.00029705>.

581 Huang, J., Deng, F., Wu, S., Lu, H., Hao, Y., Guo, X., 2013. The impacts of short-  
582 term exposure to noise and traffic-related air pollution on heart rate variability in  
583 young healthy adults. *J. Expo. Sci. Environ. Epidemiol.* 23(5), 559-564.  
584 <https://doi.org/10.1038/jes.2013.21>.

585 Iwama, H., 2004. Negative air ions created by water shearing improve erythrocyte  
586 deformability and aerobic metabolism. *Indoor. Air.* 14(4), 293-297.  
587 <https://doi.org/10.1111/j.1600-0668.2004.00254.x>.

588 Kajbafzadeh, M., Brauer, M., Karlen, B., Carlsten, C., Eeden, S.V., Allen, R.W.,  
589 2015. The impacts of traffic-related and woodsmoke particulate matter on  
590 measures of cardiovascular health: A HEPA filter intervention study. *Occup.*  
591 *Environ. Med.* 72(6), 394-400. <https://doi.org/10.1136/oemed-2014-102696>.

592 Karottki, D. G., Spilak, M., Frederiksen, M., Gunnarsen, L., Brauner, E. V., Kolarik,  
593 B., Andersen, Z. J., Sigsgaard, T., Barregard, L., Strandberg, B., 2013. An indoor  
594 air filtration study in homes of elderly: cardiovascular and respiratory effects of  
595 exposure to particulate matter. *Environ. Health.* 12(1), 116-116. [https://doi:](https://doi.org/10.1186/1476-069X-12-116)  
596 [10.1186/1476-069X-12-116](https://doi.org/10.1186/1476-069X-12-116).

597 Karottki, D.G., Bekö, G., Clausen, G., Madsen, A.M., Andersen, Z.J., Massling, A.,  
598 Ketznel, M., Ellermann, T., Lund, R., Sigsgaard, T., Møller, P., Loft, S., 2014.  
599 Cardiovascular and lung function in relation to outdoor and indoor exposure to  
600 fine and ultrafine particulate matter in middle-aged subjects. *Environ. Int.* 73,  
601 372-381. <https://doi.org/10.1016/j.envint.2014.08.019>.

602 Karottki, D.G., Spilak, M., Frederiksen, M., Jovanovic, A.Z., Madsen, A.M., Ketznel,  
603 M., Massling, A., Gunnarsen, L., Møller, P., Loft, S., 2015. Indoor and outdoor

604 exposure to ultrafine, fine and microbiologically derived particulate matter  
605 related to cardiovascular and respiratory effects in a panel of elderly urban  
606 citizens. *Int. J. Env. Res. Pub. Hea.* 12(2), 1667-1686.  
607 <https://doi.org/10.3390/ijerph120201667>.

608 Klepeis, N.E., Nelson, W.C., Ott, W.R., Robinson, J.P., Tsang, A.M., Switzer, P.,  
609 Behar, J.V., Hern, S.C., Engelmann, W.H., 2001. The national human activity  
610 pattern survey (NHAPS): A resource for assessing exposure to environmental  
611 pollutants. *J. Expo. Anal. Environ. Epidemiol.* 11(3), 231-252.  
612 <https://doi.org/10.1038/sj.jea.7500165>.

613 Krueger, A.P., Reed, E.J., 1976. Biological impact of small air ions. *Science.* 193,  
614 1209-1213.

615 Langrish, J.P., Li, X., Wang, S., Lee, M.M.Y., Barnes, G.D., Miller, M.R., Cassee,  
616 F.R., Boon, N.A., Donaldson, K., Li, J., Li, L., Mills, N.L., Newby, D.E., Jiang,  
617 L., 2012. Reducing personal exposure to particulate air pollution improves  
618 cardiovascular health in patients with coronary heart disease. *Enviro. Health.*  
619 *Perspect.* 120(3), 367-372. <https://doi.org/10.1289/ehp.1103898>.

620 Lanki T., Siponen T., Ojala A., Korpela K., Pennanen A., Tiittanen P., Tsunetsugu, Y.,  
621 Kagawa, T., Tyrvänen, L., 2017. Acute effects of visits to urban green  
622 environments on cardiovascular physiology in women: A field experiment.  
623 *Environ. Res.* 159, 176-185. <https://doi.org/10.1016/j.envres.2017.07.039>.

624 Lanphear, B. P., Hornung, R. W., Khouury, J., Yolton, K., Lierl, M., Kalkbrenner, A.,  
625 2011. Effects of HEPA air cleaners on unscheduled asthma visits and asthma  
626 symptoms for children exposed to secondhand tobacco smoke. *Pediatrics.*  
627 127(1), 93-101. <https://doi.org/10.1542/peds.2009-2312>.

628 Lärstad, M., Ljungkvist, G., Olin, A.C., Torén, K., 2002. Determination of  
629 malondialdehyde in breath condensate by high-performance liquid  
630 chromatography with fluorescence detection. *J. Chromatogr. B.* 766,107-114.  
631 [https://doi.org/10.1016/S0378-4347\(01\)00437-6](https://doi.org/10.1016/S0378-4347(01)00437-6).

632 Li, Huichu, Cai, J., Chen, R., Zhao, Z., Ying, Z., Wang, L., Chen, J., Hao, K., Kinney,  
633 P. L., Chen, H., Kan, H., 2017. Particulate matter exposure and stress hormone  
634 levels: a randomized, double-blind, crossover trial of air purification.  
635 *Circulation,* 136(7), 618-627. <https://doi:10.1161/circulationaha.116.026796>

636 Li, Hongyu, Wu, S., Pan, L., Xu, J., Shan, J., Yang, X., Dong, W., Deng, F., Chen, Y.,  
637 Shima, M., Guo, X., 2017. Short-term effects of various ozone metrics on  
638 cardiopulmonary function in chronic obstructive pulmonary disease patients:  
639 Results from a panel study in Beijing, China. *Environ. Pollut.* 232, 358-366.  
640 <https://doi.org/10.1016/j.envpol.2017.09.030>.

641 Lin, H., Tao, J., Du, Y., Liu, T., Qian, Z., Tian, L., Di, Q., Rutherford, S., Guo, L.,  
642 Zeng, W., Xiao, J., Li, X., He, Z., Xu, Y., Ma, W., 2016. Particle size and  
643 chemical constituents of ambient particulate pollution associated with  
644 cardiovascular mortality in Guangzhou, China. *Environ. Pollut.* 208, 758-766.  
645 <https://doi.org/10.1016/j.envpol.2015.10.056>. Ling, X., Jayaratne, R., Morawska,

646 L., 2010. Air ion concentrations in various urban outdoor environments. *Atmos.*  
647 *Environ.* 44(18), 2186-2193. <https://doi.org/10.1016/j.atmosenv.2010.03.026>.

648 Liu, S., Chen, J., Zhao, Q., Song, X., Shao, D., Meliefste, K., Du, Y., Wang, J., Wang,  
649 M., Wang, T., Feng, B., Wu, R., Xu, H., Bei, H., Brunekreef, B., Huang, W.,  
650 2018. Cardiovascular benefits of short-term indoor air filtration intervention in  
651 elderly living in Beijing: An extended analysis of BIAPSY study. *Environ. Res.*  
652 167, 632-638. <https://doi.org/10.1016/j.envres.2018.08.026>.

653 Luo, J., Chen, Z., Guo, J., Guo, Z., Lan, X., Sun, B., 2018. Efficacy of air purifier  
654 therapy in allergic rhinitis. *Asian. Pac J. Allergy. Immunol.* 36, 217-221.  
655 DOI:10.12932/AP-010717-0109.

656 Miller, M.R., Hankinson, J.L., Brusasco, V., 2005. Standardisation of spirometry  
657 "ATS/ERS task force: Standardisation of lung function testing". *Euro. Respir. J.*  
658 26(2), 319-338. <https://doi.org/10.1183/09031936.05.00034805>.

659 Mo H., 2017. Beijing to pilot air purifiers in schools, kindergartens.  
660 <http://www.ecns.cn/cns-wire/2017-01-06/detail-ifytetzm3107576.shtml>.  
661 [accessed October 12th 2018].

662 Morgenstern V., Zutavern A., Cyrus J., Brockow I., Koletzko S., Krämer U.,  
663 Behrendt, H., Herbarth, O., von Berg, A., Bauer, C.P., Wichmann, H.E.,  
664 Heinrich, J., 2008. Atopic diseases, allergic sensitisation and exposure to traffic-  
665 related air pollution in children. *Am. J. Respir. Crit. Care. Med.* 177(12), 1331-  
666 1337. <https://doi.org/10.1164/rccm.200701-036OC>.

667 Nakane, H., Asami, O., Yamada, Y., Ohira, H., 2002. Effect of negative air ions on  
668 computer operation, anxiety and salivary chromogranin a-like immunoreactivity.  
669 *Int. J. Psychophysiol.* 46, 85-89. [https://doi.org/10.1016/S0167-8760\(02\)00067-](https://doi.org/10.1016/S0167-8760(02)00067-3)  
670 3.

671 Nimmerichter, A., Holdhaus, J., Mehnen, L., Vidotto, C., Loidl, M., Barker, A.R.,  
672 2014. Effects of negative air ions on oxygen uptake kinetics, recovery and  
673 performance in exercise: A randomized, double-blinded study. *Int. J.*  
674 *Biometeorol.* 58, 1503-1512. <https://doi.org/10.1007/s00484-013-0754-8>.

675 Niu, J.L., Tung, T.C.W., Burnett, J., 2001. Quantification of dust removal and ozone  
676 emission of ionizer air-cleaners by chamber testing. *J. Electrostat.* 51, 20-24.  
677 [https://doi.org/10.1016/S0304-3886\(01\)00118-8](https://doi.org/10.1016/S0304-3886(01)00118-8).

678 Pan, L., Wu, S., Li, H., Xu, J., Dong, W., Shan, J., Yang, X., Chen, Y., Shima, M.,  
679 Deng, F., Guo, X., 2018. The short-term effects of indoor size-fractioned  
680 particulate matter and black carbon on cardiac autonomic function in copd  
681 patients. *Environ. Int.* 112, 261-268.  
682 <https://doi.org/10.1016/j.envint.2017.12.037>.

683 Peltier R.E., 2005. ATS/ERS recommendations for standardized procedures for the  
684 online and offline measurement of exhaled lower respiratory nitric oxide and  
685 nasal nitric oxide, 2005. *Am. J. Res. Crit. Care. Medi.* 171, 912-930.  
686 <https://doi.org/10.1164/rccm.200406-710ST>.

687

688 Ryushi, T., Kita, I., Sakurai, T., Yasumatsu, M., Isokawa, M., Aihara, Y., Hama, K.,  
689 1998. The effect of exposure to negative air ions on the recovery of physiological  
690 responses after moderate endurance exercise. *Int. J. Biometeorol.* 41, 132-136.  
691 <https://doi.org/10.1007/s004840050066>.  
692

693 Shiue, A., Hu, S.C., 2011. Contaminant particles removal by negative air ionic cleaner  
694 in industrial minienvironment for ic manufacturing processes. *Build. Environ.*  
695 46,1537-1544. <https://doi.org/10.1016/j.buildenv.2011.01.006>.  
696 Sirota, T.V., Safronova, V.G., Amelina, A.G., Mal’Tseva, V.N., Avkhacheva, N.V.,  
697 Sofin, A.D., , Ianin, V.A., Mubarakshina, E.K., Romanova, L.K., Novoselov, V.I.,  
698 2008. The effect of negative air ions on the respiratory organs and blood.  
699 *Biophysics.* 53, 457-462. <https://doi.org/10.1134/S0006350908050242>.  
700 Skulberg, K.R., Skyberg, K., Kruse, K., Eduard, W., Levy, F., Kongerud, J.,  
701 Djupesland, P., 2005. The effects of intervention with local electrostatic air  
702 cleaners on airborne dust and the health of office employees. *Indoor. Air.* 15,  
703 152-159. <https://doi.org/10.1111/j.1600-0668.2005.00331.x>.  
704 Tammet, H., Hõrak, U., Laakso, L., Kulmala, M., 2006. Factors of air ion balance in  
705 a coniferous forest according to measurements in Hyytiälä Finland. *Atmos.*  
706 *Chem. Phys.* 6(2), 3377-3390. <http://dx.doi.org/10.5194/acp-6-3377-2006>.  
707 Tian, Y. Z., Shi, G. L., Han, B., Wu, J. H., Zhou, X. Y., Zhou, L. D., Zhang, P., Feng,  
708 Y. C., 2015. Using an improved Source Directional Apportionment method to  
709 quantify the PM<sub>2.5</sub> source contributions from various directions in a megacity in  
710 China. *Chemosphere.* 119, 750-756.  
711 <http://dx.doi.org/10.1016/j.chemosphere.2014.08.015>.  
712 Weichenthal, S., Mallach, G., Kulka, R., Black, A., Wheeler, A., You, H., St-Jean, M.,  
713 Kwiatkowski, R., Sharp, D., 2013. A randomized double-blind crossover study of  
714 indoor air filtration and acute changes in cardiorespiratory health in a First  
715 Nations community. *Indoor. Air.* 23, 175-184. <https://doi.org/10.1111/ina.12019>.  
716 Weinmayr, G., Romeo, E., De Sario, M., Weiland, S.K., Forastiere, F., 2010. Short-  
717 term effects of PM<sub>10</sub> and NO<sub>2</sub> on respiratory health among children with asthma  
718 or asthma-like symptoms: A systematic review and meta-analysis. *Environ.*  
719 *Health. Perspect.* 118, 449-457. <http://dx.doi.org/10.1289%2Fehp.0900844>.  
720 World Health Organization., 2014. Burden of disease from household air pollution for  
721 2012.  
722 World Health Organization., 2016. Ambient air pollution a global assessment of  
723 exposure and burden of disease.  
724 Wu, S., Deng, F., Niu, J., Huang, Q., Liu, Y., Guo, X., 2010. Association of heart rate  
725 variability in taxi drivers with marked changes in particulate air pollution in  
726 Beijing in 2008. *Environ. Health. Perspect.* 118, 87-91.  
727 <https://doi.org/10.1289/ehp.0900818>.  
728 Wu, S., Deng, F., Huang, J., Wang, X., Qin, Y., Zheng, C., Wei H, Shima M, Guo X.,  
729 2015. Does ambient temperature interact with air pollution to alter blood

730 pressure? A repeated-measure study in healthy adults. *J. Hypertens.* 33(12),  
731 2414-2421. <https://doi.org/10.1097/HJH.0000000000000738>.  
732  
733 Zhao, B., Chen, C., Zhou, B., 2018. Is there a timelier solution to air pollution in  
734 today's cities? *Lancet. Planetary. Health.* 2, e240. [https://doi.org/10.1016/S2542-](https://doi.org/10.1016/S2542-5196(18)30082-2)  
735 [5196\(18\)30082-2](https://doi.org/10.1016/S2542-5196(18)30082-2).  
736 Zheng, Y., Chen, H., Yao, M., Li, X., 2017. Bacterial pathogens were detected from  
737 human exhaled breath using a novel protocol. *J. Aerosol. Sci.* 117, 224-234.  
738 <https://doi.org/10.1016/j.jaerosci.2017.12.009>.  
739  
740

741 **Table 1** Demographic characteristics for the study participants

Characteristics	
Number	44
Male (%)	24 (55)
Female (%)	20 (45)
Age, years	
Mean $\pm$ SD	12.4 $\pm$ 0.8
Median	12
Range	11-14
BMI, kg/m <sup>2</sup>	
Mean $\pm$ SD	18.7 $\pm$ 3.3
Median	18.1
Range	14.2-33.5

742

743 Abbreviation: SD, standard deviation; BMI, body mass index.

744  
745

**Table 2** Comparison of indoor exposure measurements and health measurements between sham purification and real purification

Variables	N <sup>a</sup>	Sham-purification (Mean ±SD)	Real-purification (Mean ±SD)	P value
<b>Exposure measurements</b>				
PM <sub>0.5</sub> , µg/m <sup>3</sup>	3097	18.8 ± 13.9	9.8 ± 8.9	<0.05*
PM <sub>1.0</sub> , µg/m <sup>3</sup>	3097	36.4 ± 21.1	19.2 ± 10.2	<0.05*
PM <sub>2.5</sub> , µg/m <sup>3</sup>	3097	72.5 ± 30.3	40.8 ± 13.3	<0.05*
PM <sub>5.0</sub> , µg/m <sup>3</sup>	3097	375.2 ± 180.3	242.8 ± 160.2	<0.01**
PM <sub>10</sub> , µg/m <sup>3</sup>	3097	923.6 ± 360.8	608.9 ± 280.6	<0.01**
BC, µg/m <sup>3</sup>	3097	4.4 ± 2.1	2.2 ± 1.3	<0.01***
O <sub>3</sub> , µg/m <sup>3</sup>	3097	21 ± 6	19 ± 5	0.28
NAI, cm <sup>-3</sup>	3097	12 ± 10	12997 ± 3814	<0.001***
RH, %	3127	53.3 ± 8.5	54.4 ± 8.2	0.70
Temperature, °C	3127	16.7 ± 4.4	15.2 ± 4.3	0.36
Noise, dB	3127	69.3 ± 2.6	70.1 ± 2.5	0.23
CO <sub>2</sub> , µg/m <sup>3</sup>	3127	2410 ± 1027	2865 ± 1044	0.29
<b>Health measurements</b>				
FEV <sub>1</sub> , L	257	2.19 ± 0.50	2.34 ± 0.45	<0.01**
PEF, L/min	257	343 ± 80	346 ± 85	0.41
FeNO, ppb	257	17 ± 7	15 ± 8	<0.01**
MDA, µmol/L	257	0.24 ± 0.15	0.20 ± 0.14	0.06
SBP, mmHg	257	106 ± 7	105 ± 8	0.76
DBP, mmHg	257	64 ± 6	64 ± 6	0.96
PP, mmHg	257	40 ± 5	41 ± 6	0.86
HF, ms <sup>2</sup>	9100	381.4 ± 346.9	349.6 ± 338.7	<0.001***
LF, ms <sup>2</sup>	9100	982.8 ± 656.9	950.8 ± 619.3	<0.001***
SDNN, ms	9100	65 ± 23	64 ± 22	<0.001***
LF/HF	9100	4.0 ± 3.3	4.3 ± 3.2	<0.001***
HR, min <sup>-1</sup>	9100	91 ± 13	92 ± 12	<0.001***
II_ST, mV	825	0.13 ± 0.10	0.12 ± 0.11	0.49
V2_ST, mV	825	0.28 ± 0.16	0.27 ± 0.15	0.57
V5_ST, mV	825	0.10 ± 0.11	0.09 ± 0.10	<0.01**

746 Abbreviation: SD, standard deviation, PM, particulate matter; BC, black carbon; O<sub>3</sub>, ozone; NAI,  
747 negative air ion; RH, relative humidity; CO<sub>2</sub>, carbon dioxide; FEV<sub>1</sub>, forced expiratory volume in the  
748 first second; PEF, peak expiratory flow; FeNO, fractional exhaled nitrogen oxide; MDA,  
749 Malondialdehyde; SBP, systolic blood pressure; DBP, diastolic blood pressure; PP, pulse pressure; HF,  
750 power in high frequency; LF, power in low frequency; SDNN, standard deviation of all NN intervals;  
751 LF/HF, LF to HF ratio; HR, heart rate.  
752 <sup>a</sup>Observation after excluding all missing values and abnormalities.

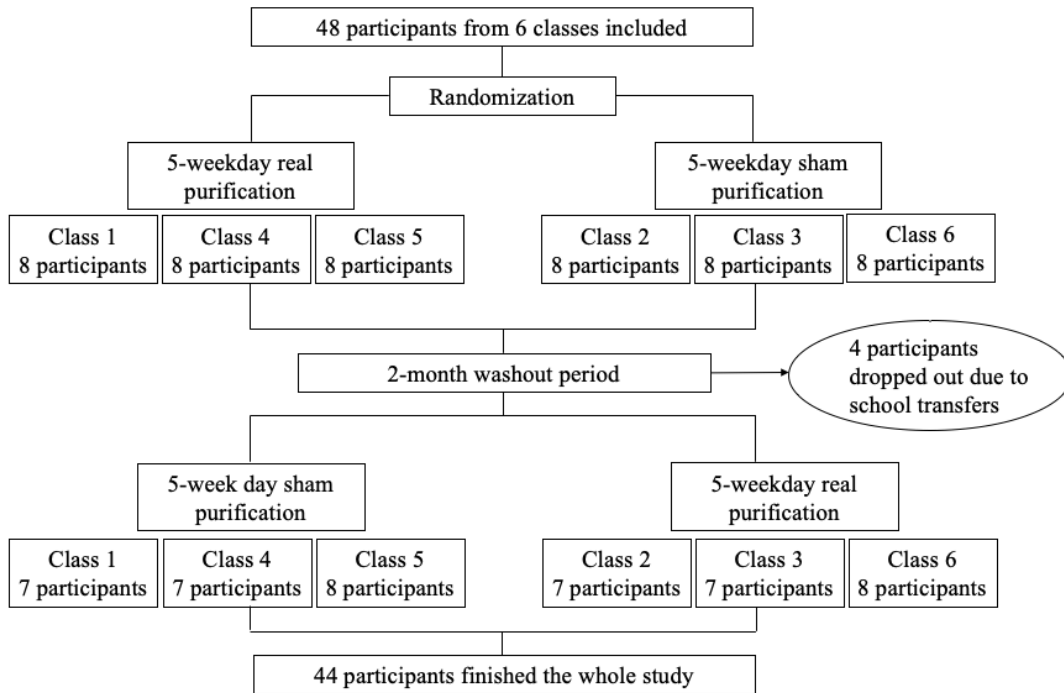
753

754 **Table 3** Comparisons of health measurements on Monday mornings and Friday afternoons between  
 755 sham and real purification

Variables	N <sup>a</sup>	Sham-purification (Mean ± SD)	Real-purification (Mean ± SD)	Difference	P value
<b>FEV<sub>1</sub>, L</b>					
Monday morning	42	2.23 ± 0.51	2.25 ± 0.44	0.02	0.48
Friday afternoon	40	2.22 ± 0.52	2.38 ± 0.48	0.16	<0.05*
<b>PEF, L/min</b>					
Monday morning	42	317 ± 73	321 ± 76	4	0.68
Friday afternoon	40	353 ± 89	356 ± 95	3	0.53
<b>FeNO, ppb</b>					
Monday morning	42	19 ± 10	18 ± 11	-1	0.71
Friday afternoon	40	18 ± 8	14 ± 7	-4	<0.01**
<b>SBP, mmHg</b>					
Monday morning	42	108 ± 10	107 ± 9	-1	0.30
Friday afternoon	40	106 ± 7	105 ± 7	-1	0.12
<b>DBP, mmHg</b>					
Monday morning	42	68 ± 8	66 ± 7	-2	0.25
Friday afternoon	40	65 ± 6	63 ± 6	-2	<0.05*
<b>PP, mmHg</b>					
Monday morning	42	41 ± 7	41 ± 5	1	0.82
Friday afternoon	40	41 ± 6	41 ± 5	1	0.59

756 Abbreviation: SD, standard deviation; FEV<sub>1</sub>, forced expiratory volume in the first second; PEF, peak  
 757 expiratory flow; SBP, systolic blood pressure; DBP, diastolic blood pressure; PP, pulse pressure.

758 <sup>a</sup>Observation after excluding all missing values and abnormalities.



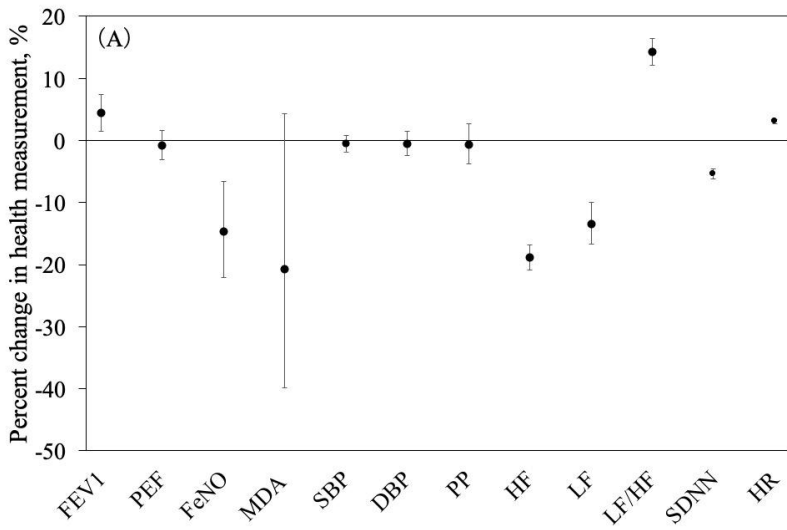
759

760 **Figure 1** Flow chart of the study.

761

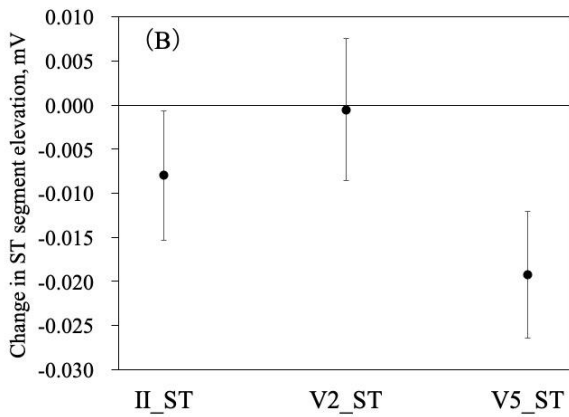
762

763



764

765



766

767 **Figure 2** (A) Estimated percent changes with 95% confidence intervals in health  
 768 measurements (except ST segments) with real purification; (B) Estimated changes  
 769 with 95% confidence intervals in ST segments elevation with real purification.

770 <sup>a</sup> Abbreviations: FEV<sub>1</sub> (N=257), forced expiratory volume in the first second; PEF (N=257), peak  
 771 expiratory flow; FeNO (N=257), fractional exhaled nitrogen oxide; MDA (N=257), Malondialdehyde;  
 772 SBP (n=257), systolic blood pressure; DBP (N=257), diastolic blood pressure; PP (N=257), pulse  
 773 pressure; HF (N=9100), power in high frequency; LF (N=9100), power in low frequency; SDNN,  
 774 standard deviation of all NN intervals; LF/HF (N=9100), LF to HF ratio; HR (N=9100), heart rate.

775 <sup>b</sup> II\_ST (N=825); V2\_ST (N=825); V5\_ST (N=825).

776 <sup>c</sup> N: number of observation.

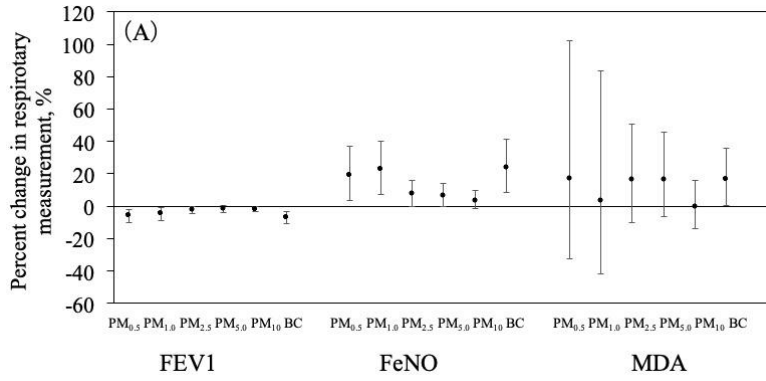
777

778

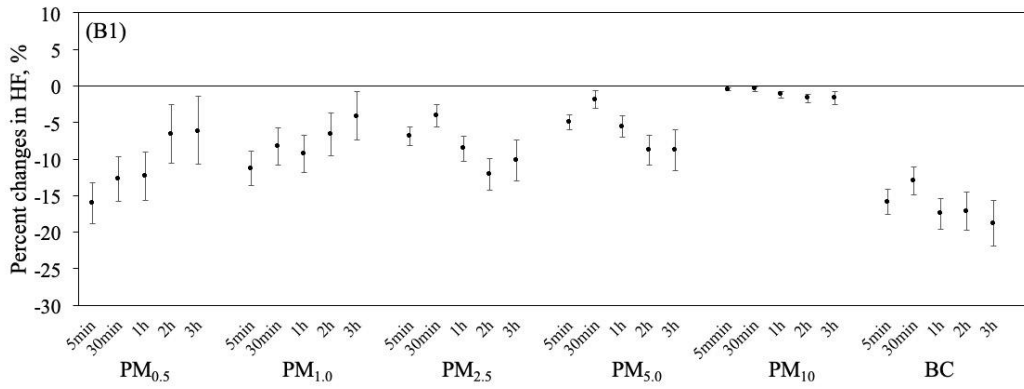
779

780

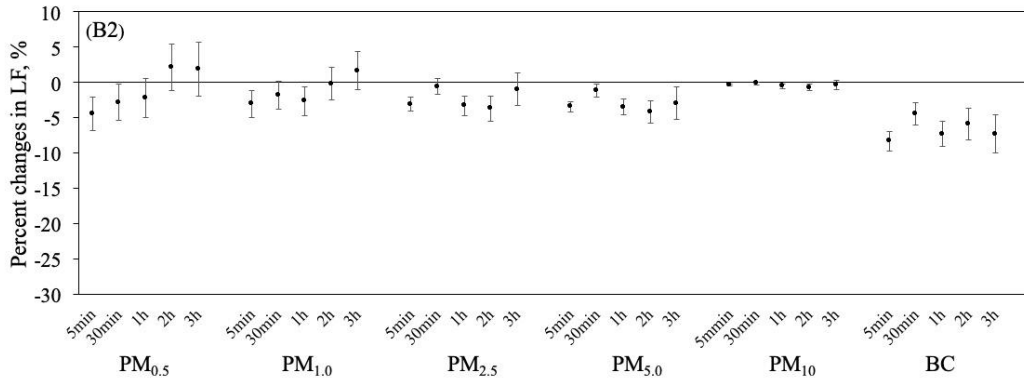
781



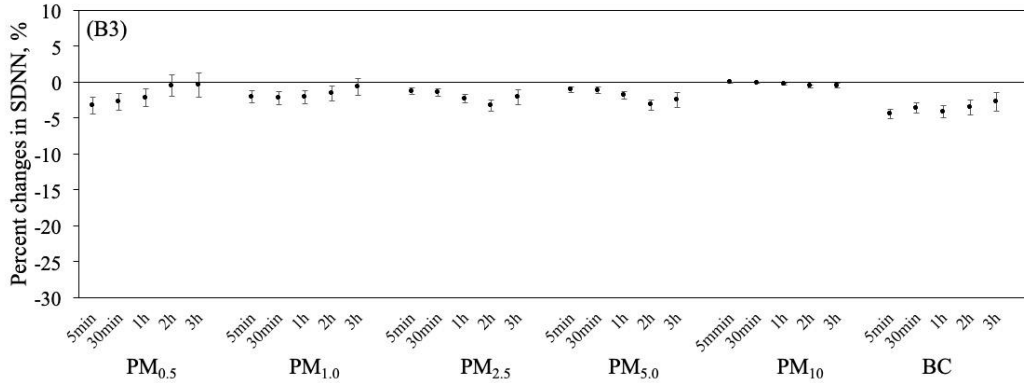
782



783

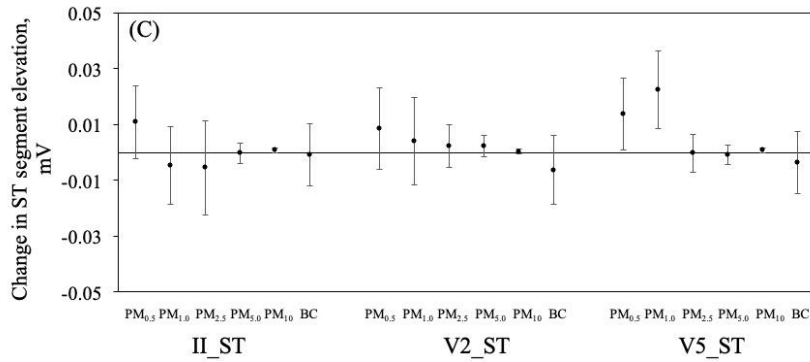


784



785

786



787

788 **Figure 3** (A) Estimated percent changes with 95% confidence intervals in respiratory  
 789 measurements per IQR increases in size-fractionated PM and BC; (B) Estimated  
 790 percent changes with 95% confidence intervals in HRV indices per IQR increases in  
 791 size-fractionated PM and BC over different moving averages. (B1) HF; (B2) LF; (B3)  
 792 SDNN (C) Estimated changes with 95% confidence intervals in ST segment elevation  
 793 per IQR increases in size-fractionated PM and BC.

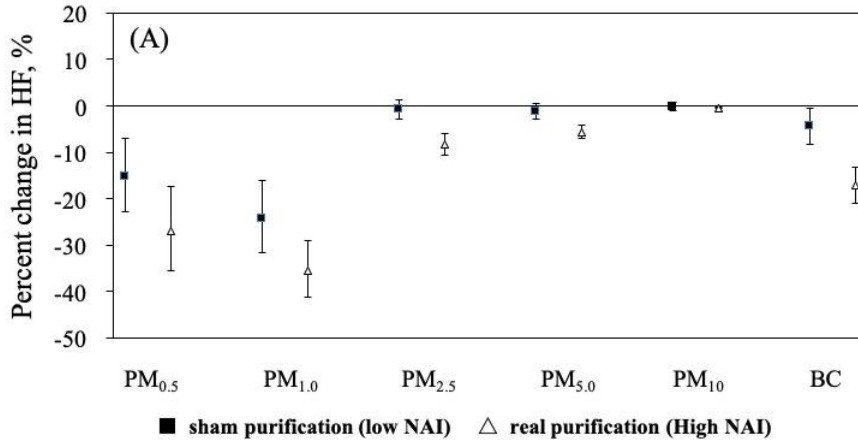
794 <sup>a</sup> Abbreviations: FEV<sub>1</sub> (N=257), forced expiratory volume in the first second; PEF (N=257), peak  
 795 expiratory flow; FeNO (N=257), fractional exhaled nitrogen oxide; MDA (N=257), Malondialdehyde;  
 796 SBP (n=257), systolic blood pressure; DBP (N=257), diastolic blood pressure; PP (N=257), pulse  
 797 pressure; HF (N=9100), power in high frequency; LF (N=9100), power in low frequency; SDNN,  
 798 standard deviation of all NN intervals.

799 <sup>b</sup> II\_ST (N=825); V2\_ST (N=825); V5\_ST (N=825).

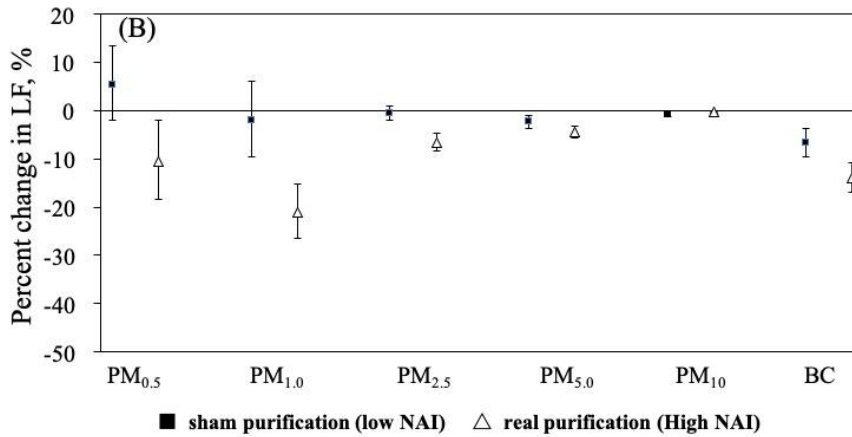
800 <sup>c</sup> N: number of observation.

801 <sup>d</sup> IQR increases: PM<sub>0.5</sub>, 17.9 µg/m<sup>3</sup>; PM<sub>1.0</sub>, 22.2 µg/m<sup>3</sup>; PM<sub>2.5</sub>, 26.7 µg/m<sup>3</sup>; PM<sub>5.0</sub>, 170.0 µg/m<sup>3</sup>; PM<sub>10</sub>,  
 802 331.7 µg/m<sup>3</sup>; BC, 3.6 µg/m<sup>3</sup>

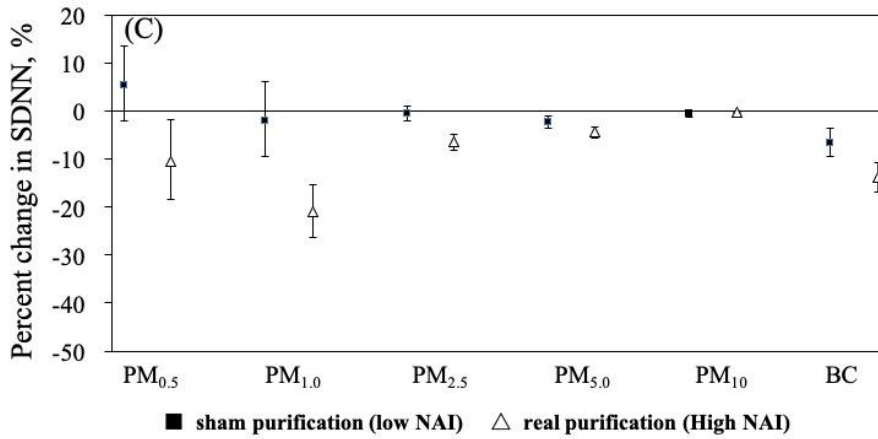
803



804



805



806

807 **Figure 4** Estimated percent change in HRV indices per IQR increase in size-  
 808 fractionated PM and BC at 5min moving average in sham-purification group and real-  
 809 purification group, respectively. **Solid squares:** effect estimated in sham purification  
 810 (low NAI) scenario; **open triangles:** effect estimated in real purification (high NAI)  
 811 scenario. (A) HF; (B) LF; (C) SDNN.

812 <sup>a</sup>. Abbreviations: HF (N=4523 for sham purification; N=4577 for real purification), power in high  
 813 frequency; LF (N=4523 for sham purification; N=4577 for real purification), power in low frequency;  
 814 SDNN (N=4523 for sham purification; N=4577 for real purification), standard deviation of all NN  
 815 intervals.

816 <sup>b</sup>. N: number of observation.  
817 <sup>c</sup>. IQR increases: PM<sub>0.5</sub>, 17.9 µg/m<sup>3</sup>; PM<sub>1.0</sub>, 22.2 µg/m<sup>3</sup>; PM<sub>2.5</sub>, 26.7 µg/m<sup>3</sup>; PM<sub>5.0</sub>, 170.0 µg/m<sup>3</sup>; PM<sub>10</sub>,  
818 331.7 µg/m<sup>3</sup>; BC, 3.6 µg/m<sup>3</sup>  
819